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Review

Review on geotechnical engineering properties of sands treated by microbially induced calcium carbonate precipitation (MICP) and biopolymers

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HIGHLIGHTS

- Biological soil improvement methods using MICP and biopolymers are reviewed.
- Engineering properties of MICP- and biopolymer-treated sands are compiled.
- Potential applications of MICP and biopolymer treatment are discussed.

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ABSTRACT

This study reviews the fundamental mechanisms of biological soil improvement methods—microbially induced calcium carbonate precipitation (MICP) and biopolymer treatment (BPT). Extensive experimental data on various geotechnical properties of sands treated by MICP and BPT are compiled, including the unconfined compressive strength, Mohr-Coulomb shear strength parameters, and permeability. Furthermore, the variations in these engineering parameters are correlated to calcium carbonate content for MICP and biopolymer content for BPT, which provides insights into the extent of biological modification in engineering properties of sands, potential applications, and limitations.

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Contents

| | |
|---|---|
| 1. Introduction | 2 |
| 2. Microbially induced calcium carbonate precipitation (MICP) | 2 |
| 2.1. Mechanism of MICP | 2 |
| 2.2. Potential applications of MICP | 3 |
| 2.3. Mechanical and hydrological properties of MICP-treated soils | 3 |
| 2.3.1. Correlation between CCC and UCS | 3 |
| 2.3.2. Mohr-Coulomb shear strength parameters: Friction angle and apparent cohesion intercept | 5 |
| 2.3.3. Permeability | 6 |
| 3. Biopolymer-treated soils (BPTS) | 7 |
| 3.1. Mechanism of BPTS | 7 |
| 3.2. Potential applications of BPTS | 7 |
| 3.3. Mechanical and hydrological properties of BPTS | 7 |
| 3.3.1. UCS of BPTS | 7 |

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|--|----|
| 3.3.2. Mohr-Coulomb shear strength parameters – friction angle and apparent cohesion intercept – Of BPTS. | 8 |
| 3.3.3. Permeability | 9 |
| 4. Comparisons of engineering properties for MICP and BPTS | 9 |
| 5. Concluding remarks – implications and potential applications. | 11 |
| Declaration of Competing Interest | 11 |
| Acknowledgements | 11 |
| References | 11 |

1. Introduction

Soil improvement is one of the most important issue in geotechnical engineering practices; cement-based materials have been widely used for various soil improvement strategies [1,2] because of their benefits such as safety, workability, and affordability. However, demand for alternative materials to cement is increasing because of its contribution to carbon dioxide (CO₂) and nitrogen oxide (NO_x) emission during production processes [3–5].

In soils, there are humongous amounts of living organisms, including bacteria, archaea, fungi, and worms. For instance, 1 kg natural soil generally contains >1 billion bacteria (>10⁹ cells per kg of soil). These microorganisms in soils can generate various biochemical products such as biofilms, various gases (e.g., N₂, CO₂, NO, H₂, H₂S), biopolymers, or biominerals. Therefore, direct use of in situ microbial activities or *ex situ* microbial products has been proposed as a potential soil improvement method with low environmental impact [6,7]. Bio-mediated or bio-based soil improvement methods can be categorized based on the microbial activities or biomaterials to be exploited. These microbial activities include biomineralization, biofilm formation, biogas generation, and biopolymer/EPS accumulation; and the bio-materials exploited include food wastes such as hard shell and dairy products (e.g., casein), and biopolymers, such as gellan gum, guar gum, chitosan, glucan, and xanthan. These representative bio-mediated methods are briefly summarized as follows.

- **Microbially induced calcium carbonate (or calcite) precipitation (MICP).** This method deploys the bacterial activity that decomposes urea into carbonate and ammonium ions. The carbonate ions (CO₃²⁻) combine with calcium ions (Ca²⁺) to form calcium carbonate (CaCO₃) and the precipitated calcium carbonate can cement sand grains. The mineralogy of the precipitated calcium carbonate mostly proves to be calcite. The MICP method has been investigated for improving various soil properties, including strength and stiffness [8,9], liquefaction resistance [10,11], wind/water erosion control [12], and permeability [13,14].
- **Enzyme-induced calcium carbonate precipitation (EICP).** This method relies on the same chemical reaction involved in the MICP to form calcium carbonate. Meanwhile, the EICP method does not use bacteria; instead, it uses the enzymes extracted from bacterial solutions or plants [15,16]. The EICP method has been investigated for various purposes, including crack healing of mortars [17], protection against wind-driven erosion [18], fugitive dust control [19], and soil improvement [20,21].
- **Microbial biopolymer accumulation.** This method stimulates the microbes to produce biopolymers in soils. For instance, *Leuconostoc mesenteroides* are known to produce insoluble polysaccharide biopolymers when they are fed sucrose-rich media [22]. They decompose sucrose into fructose and glucose, and form polysaccharides linked with glucosidic bonds, referred to as dextran. This bacterial biopolymer dextran produced by *L. mesenteroides* is reported to readily reduce permeability and increase erosion resistance [23–25].

- **Biofilm formation.** Biofilms are the mixture of microbial cells and self-produced extracellular polymeric substances (EPS), and they are spontaneous and ubiquitous in natural environments. While biofilms protect microorganisms from physical, chemical, and biological external stimulations [26], biofilm formation in soils has profound effects on the formation and stability of soil bonding [27], weathering of minerals [28], degradation and sequestration of organic carbon [29], and hydraulic conductivity reduction [30–32].
- **Biogas generation.** This method exploits the microbial production of insoluble gas bubbles, including nitrogen gas and carbon dioxide gas, in water-saturated soils [33,34]. It causes partial saturation of soils, thus, it is reported to improve liquefaction resistance [33,35].
- **Biopolymer treatment (BPT).** This method refers to a technique that applies biopolymers as a chemical cementing agent to soils. The biopolymers include xanthan gum, gellan gum, beta-glucan produced by bacteria, gum from algae, guar gum from plants, chitosan from shellfish, and casein from dairy agar products [36]. For BPT, the extracted biopolymers from various sources are purified and dried, and in dry powder form, they are mixed with water and soils at a pre-determined ratio to achieve the targeted engineering performance. The BPT method can be used to increase the liquid limit and strength of soils [37,38], decrease hydraulic conductivity, improve erosion resistance, and modify water repellence [39].

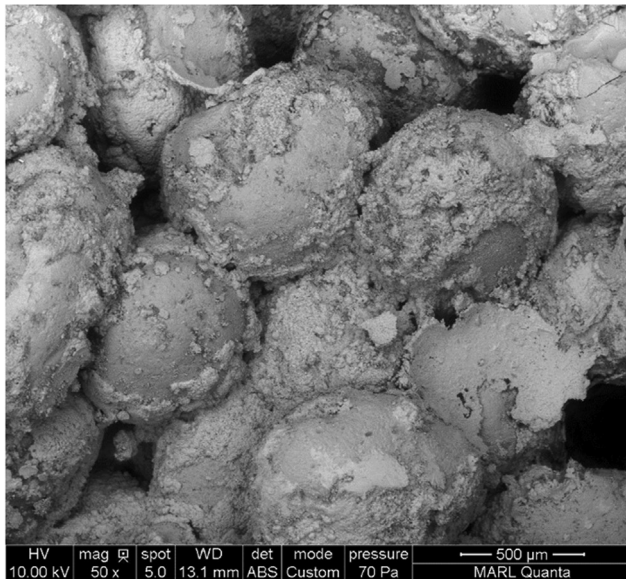
Among these potential bio-based soil improvement methods, MICP and BPT have been intensively investigated to quantify the extent to which they modify various engineering properties; they are at the forefront of field-scale applications. However, adequate experimental data is yet to be compiled and compared because of varying physical characteristics of host soils and different testing conditions; this has been hampering further advancements in developing novel but practical soil improvement methods.

Therefore, this study reviews the fundamental mechanisms and potential applications of MICP and BPT for soil improvement. The experimental data on various geotechnical properties of sands treated with MICP and BPT are compiled, including unconfined compressive strength (UCS), Mohr-Coulomb shear strength parameters, and hydraulic conductivity (or permeability). Furthermore, these engineering properties of MICP-treated sands and biopolymer-treated sand are correlated to the calcium carbonate content (CCC) for MICP or to biopolymer content (BPC) for BPT. The compilations and correlations presented in this study are expected to provide insights into the extent of modification in engineering properties by such methods and eventually contribute to the development of new and novel soil improvement strategies using these bio-based materials and techniques.

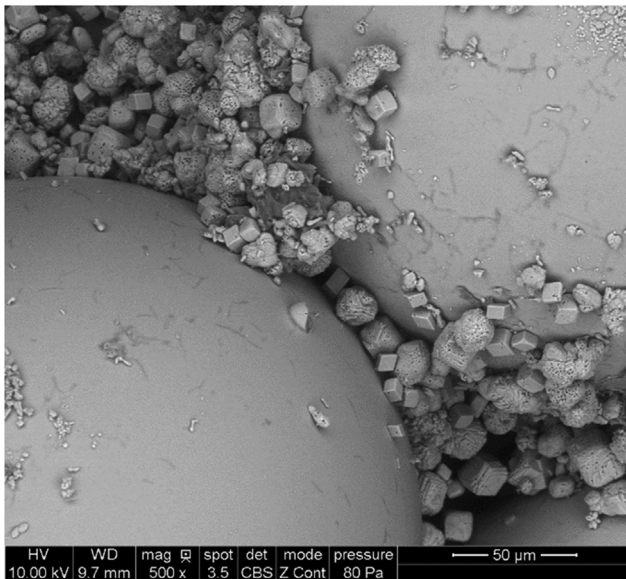
2. Microbially induced calcium carbonate precipitation (MICP)

2.1. Mechanism of MICP

MICP was adopted from a naturally occurring biomineralization process, where bacteria in soils induce the precipitation of calcium



(a)



(b)

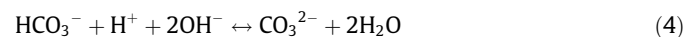
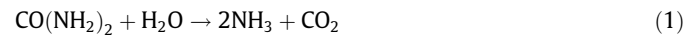
Fig. 1. SEM images of MICP-treated clean sands: (a) sand grains coated with calcite, and (b) glassbeads with precipitated calcite minerals.

Table 1
Applications of MICP methods for geotechnical engineering purposes.

| Application | Microorganism | Reference |
|---|----------------------------|------------|
| Soil stabilization/Settlement reduction | <i>S. pasteurii</i> | [8,49–53] |
| | <i>Bacillus megaterium</i> | [54] |
| Permeability reduction | <i>Bacillus</i> sp. VS1 | [55] |
| | <i>B. sphaericus</i> | [56,57] |
| | <i>B. megaterium</i> | [58,59] |
| | <i>S. pasteurii</i> | [61,63,64] |
| Erosion control | <i>S. pasteurii</i> | [11,65,66] |
| Liquefaction protection | <i>S. pasteurii</i> | [11,65,66] |
| | Soil indigenous bacteria | [67] |

carbonate [40,41]. The mechanism of MICP stems from bacterial production of urease enzyme and the hydrolysis of urea [42–45]. Therefore, bacteria capable of producing urease enzyme can be

used for MICP, including *Sporosarcina* strains, *Bacillus* strains, *Shewanella* strains, or *Exiguobacterium* strains (e.g., *Sporosarcina pasteurii*, *Bacillus subtilis*, *Bacillus sphaericus*, *Bacillus pseudofirmus*, *Shewanella alga*, or *Exiguobacterium mexicanum*). Bacteria produce urease enzyme, following which urea hydrolysis is produced by the urease enzyme, whereby urea is decomposed into ammonia (NH_3) and carbon dioxide (CO_2) (Eq. (1)). The dissolution of ammonia (NH_3) into water produces ammonium ions (NH_4^+) and hydroxide ions (OH^-), consequently increasing local pH (Eq. (2)). Meanwhile, the dissolution of carbon dioxide (CO_2) into water generates bicarbonate ions (HCO_3^-) and hydrogen ions (H^+) (Eq. (3)). In the high pH condition (or alkaline condition), this bicarbonate (HCO_3^-) reacts with the hydroxyl ions (OH^-) to form carbonate ions (CO_3^{2-}) (Eq. (4)). Hence, calcium carbonate (CaCO_3) is formed in the presence of calcium ions (Ca^{2+}) and soon precipitated out due to its low solubility in water (Eq. (5)).



As a result of urea hydrolysis, net pH increases to ~9 due to the hydroxyl ions produced during the dissolution of ammonium. Calcium carbonate minerals exist in nature as three types of anhydrous polymorphs (calcite, aragonite, vaterite); amongst the three, calcite is the most stable mineral [46]. The calcium carbonate minerals produced by MICP are mostly calcite [47]. Fig. 1 shows the SEM images of MICP-treated sands.

2.2. Potential applications of MICP

Biomining using the MICP method has been suggested for geotechnical engineering applications [34,48]. Thereafter, intensive and comprehensive efforts are being put into MICP. Table 1 reviews the previous studies on MICP, mainly focusing on geotechnical engineering applications [8,11,49–67].

2.3. Mechanical and hydrological properties of MICP-treated soils

The engineering properties of MICP-treated soils are affected by several environmental factors and conditions, including the injection flow rate of nutrients [68,69], chemical concentration [50,70], source of calcium ion [71,72], temperature [73,74], urease enzyme activity [73,75], pH [76], and host soil type [60,77]. Although such numerous influencing factors militate against the optimization of MICP treatments, the majority of the engineering properties of MICP-treated soils can be related to the CCC. Therefore, relationships between the CCC and various engineering properties, including the unconfined compressive strength (UCS), Mohr-Coulomb shear strength parameters (cohesion and friction angle), and permeability, are investigated based on the compiled literature data.

2.3.1. Correlation between CCC and UCS

The strength of soils treated with MICP generally increases as the amount of calcium carbonate precipitated increases; hence, the UCS has a positive relationship with the CCC [49,78–80]. Table 2 summarizes previous studies and observations on the UCS of MICP-treated soils. As mentioned earlier, while various factors

Table 2
Previous studies on UCS of MICP-treated sands.

| Bacteria | | Soil | | Chemical concentration | CCC (%) | UCS (kPa) | Test condition | Reference |
|--------------------------------|---------------------------------|-------------------------|------------------------------|---------------------------|--------------|---------------|----------------|-----------|
| Name | Urease activity | Type | Density (g/cm ³) | (Urea/Ca) | | | | |
| <i>Sporosarcina pasteurii</i> | 0.23 mS/min | Itterbeck sand | 1.56 | 1.1/1.1 | 1.1 to 6.4 | 190 to 574 | H | [83] |
| <i>Sporosarcina pasteurii</i> | 1.1 mol-urea/L/h | Itterbeck sand | 1.56 | 1.0/1.0 | 12.6 to 27.3 | 700 to 12,400 | K | [84] |
| <i>Sporosarcina pasteurii</i> | 0.8 to 1.2 (OD ₆₀₀) | British sand | 0.2 to 1.0 (R.D) | 0.1 to 1.0/0.1 to 1.0 | 2.6 to 9.3 | 37 to 2,950 | A | [50] |
| <i>Bacillus sphaericus</i> | 10 U/ml | Ottawa sand | 1.62 to 1.63 | 1.0/1.0 | 1.14 to 13.9 | 180 to 2,270 | I | [57] |
| <i>Sporosarcina pasteurii</i> | - | Ottawa sand | 0.4 (R.D) | 0.333/1.0 | 1.2 to 11.2 | 80 to 3,736 | J | [85] |
| <i>Bacillus sp.</i> | 10 U/ml | Silica sand (Australia) | 1.60 to 1.61 | 1.0/1.0 | 1.0 to 4.0 | 50 to 300 | G | [56] |
| <i>S. pasteurii</i> and urease | 0.3 to 1.5 (OD ₆₀₀) | Ottawa sand | 1.58 to 1.64 | 0.25 to 1.5/0.25 to 1.5 | 1.9 to 13.4 | 98 to 2,145 | A, B, C, J, L | [51] |
| <i>B. megaterium</i> | 1·10 ⁸ cfu/mL | Residual sand | 1.52 | 0.25 to 1.0/0.25 to 1.0 | 0.7 to 2.7 | 67 to 140 | A, B, D, F | [69] |
| <i>Sporosarcina pasteurii</i> | - | Ottawa sand | 0.4 (R.D) | 1.0/0.45 | 5.2 to 7.7 | 291 to 418 | E | [72] |
| <i>Sporosarcina pasteurii</i> | 3.7 mM/min | Ottawa sand | 1.65 | 0.3/0.3 | 7.5 to 13.1 | 230 to 2,215 | M | [52] |
| <i>Bacillus sp.</i> | 10 U/ml | Silica sand (Australia) | 1.63 to 1.85 | 1.0/1.0 | 1.1 to 9.4 | 37 to 2,112 | B, C, G, J, L | [73] |
| <i>Pararhodobacter sp.</i> | - | Beachrock Sand (Japan) | - | 0.1 to 0.3/0.1 to 0.3 | 10.7 to 28.2 | 495 to 9,855 | A, C, D, J | [86] |
| <i>Sporosarcina pasteurii</i> | 1.5 to 2.0 mL/min | Ottawa sand | 1.7 | 0.3/0.3 | 6.2 to 8.9 | 520 to 1,709 | E | [8] |
| <i>Sporosarcina pastuerii</i> | - | 14 types | 0.5 to 0.65 (R.D) | 0.25 to 0.5/0.125 to 0.25 | 2.4 to 13.3 | 147 to 5,362 | A, L | [87] |
| <i>Pararhodobacter sp.</i> | 1 mS/ urea/min | 4 types | 1.49 to 1.65 | 0.3 to 0.7/0.3 to 0.7 | 10.1 to 32.0 | 845 to 10,000 | A, C, D, J, L | [88] |
| <i>S. pasteurii</i> | 0.6 to 1.2 (OD ₆₀₀) | Xiamen sand | - | 1.0/1.0 | 1.4 to 9.8 | 48 to 1,872 | B, N | [89] |

Note: R.D: Relative density, OD₆₀₀: Optical density at 600 nm, A: Chemical Concentration, B: Urease activity, C: Temperature, D: Injection interval, E: Calcium source, F: Flow pressure, G: liquid type, H: Strength, I: Degrees of saturation, J: Treatment time, K: CCC, L: Sand, M: Fiber, N: Air.

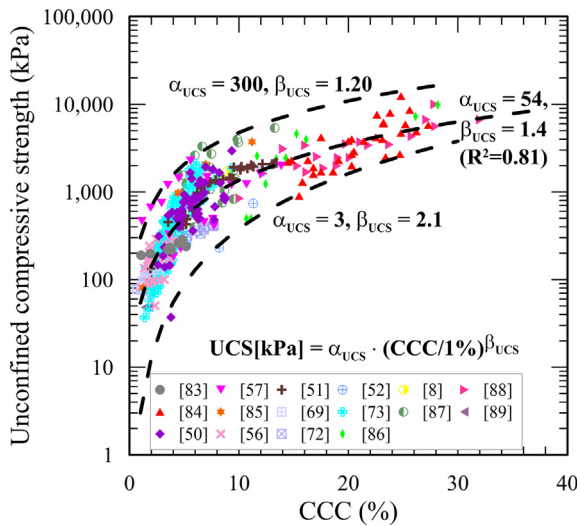


Fig. 2. Relationships between CCC and UCS.

affect the UCS of MICP-treated soils, major findings and some contradictory results can be summarized as follows:

- Lower chemical concentration induced the smaller calcite crystals, and hence, increases the UCS by a large extent [50]. However, some studies showed that 0.5 M (or 0.5 mol/L) chemical concentration (urea and calcium chloride) was the optimal concentration for the UCS [69,70].

- The effect of urease activity on the efficiency of strength improvement is still controversial. Lower urease activity was reported to be more effective in increasing the UCS due to the effective formation of cementation bridges by the slower hydrolysis of urea [73]. In contrast, it was reported that higher UCS was found with higher urease activity [51].
- Use of new calcium sources such as eggshell with vinegar [72], eggshell with hydrochloric acid (HCl) [80], and limestone with acetic acid-rich solution [8] has actively been investigated. In particular, the use of calcium acetate (Ca(CH₃COO)₂) as the calcium source resulted in higher strength, compared to the use of calcium chloride (CaCl₂), however the reason remains poorly understood [71].
- Combination of polymer fibers with MICP has also been investigated for enhancing the performance of MICP treatment by [52] and [81].
- Increases in treatment duration, repetition of treatment cycle, and chemical concentration, and a decrease in in-flow pressure are found to have positive effects on the amount degree of cementation [56,69,82].

Fig. 2 shows the compiled data on the UCS versus CCC from literatures [8,50–52,56,57,69,72,73,83–89]. It appears that the UCS ranges from 36 to 12,400 kPa, while the CCC ranges from 0.7 to 32%. A CCC of less than 1% resulted in a UCS of 36 kPa, while a CCC of 32% resulted in a UCS of 12.4 MPa, the smallest and the largest UCS values up to this point. As a positive but non-linear relation between UCS and CCC is seen, an empirical correlation between UCS and CCC can be derived as follows:

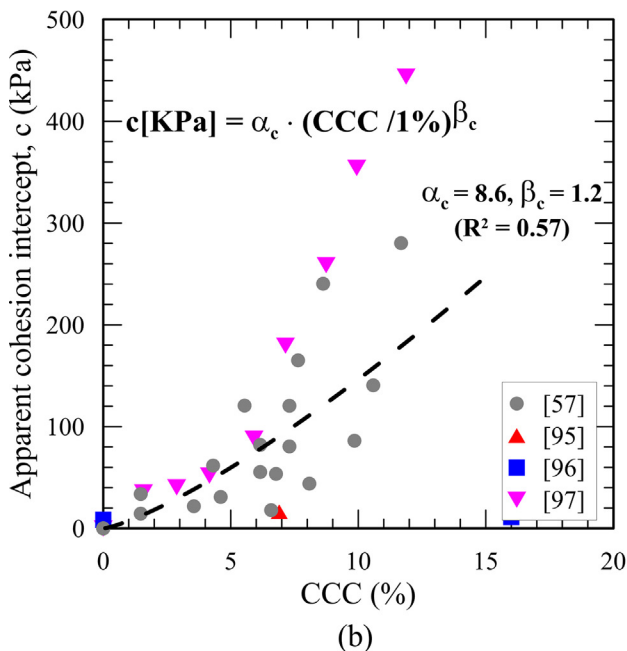
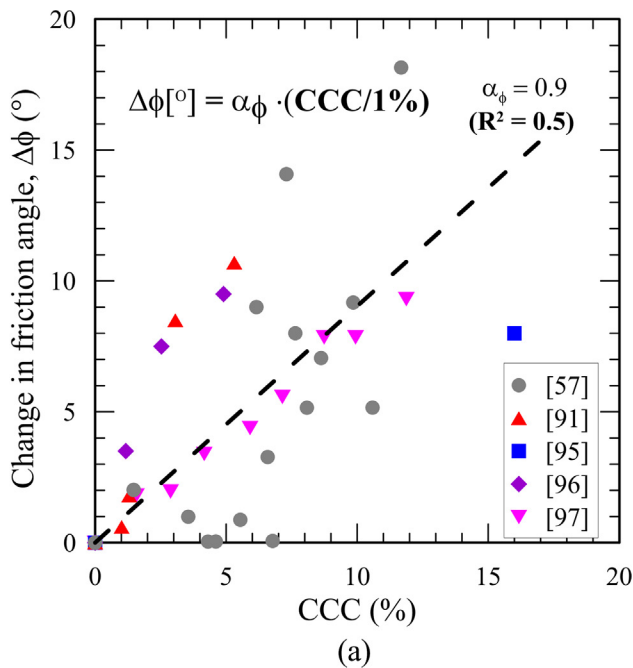
$$UCS[kPa] = \alpha_{UCS} \cdot (CCC/1\%)^{\beta_{UCS}}, \text{ when } CCC < 30\%, \quad (6)$$

Table 3

Previous studies on Mohr-coulomb shear strength parameters of MICP-treated sands.

| Bacteria | | Soil | | Properties | | | Test Condition | Reference |
|-------------------------------|---------------------------|-------------------------|---------------------------|-------------|--------------------|----------------|----------------|-----------|
| Name | Urease activity | Type | Density g/cm ³ | CCC (%) | Friction angle (°) | Cohesion (kPa) | | |
| <i>Bacillus sphaericus</i> | 10 U/ml | Silica sand (Australia) | 1.62–1.6339% | 0.0 to 9.9 | 23.0 to 41.1 | 0.0 to 280 | I, L | [57] |
| <i>Sporosarcina pasteurii</i> | 0.8–1.0 OD ₆₀₀ | Ottawa sand | 40% | 0.0 to 5.31 | 33 to 43.7 | – | K, | [91] |
| <i>Sporosarcina pasteurii</i> | – | Organic soil | 0.6–0.69 | 0.0 to 16 | 30.0 to 38.0 | 8.4 to 11.0 | F | [95] |
| <i>Sporosarcina pasteurii</i> | 1.0 OD600 | Ottawa sand | 0.4, 0.75 | 0.0 to 4.9 | 33.5 to 39.5 | 5 to 59 | K, L | [96] |
| <i>Sporosarcina pasteurii</i> | 1.8 OD600 | China sand | | 0.0 to 11.9 | 35.6 to 45.0 | 0.0 to 444.0 | F, J, L | [97] |

R.D: Relative density, OD₆₀₀: Optical density at 600 nm, A: Chemical Concentration, B: Urease activity, C: Temperature, D: Injection interval, E: Calcium source, F: Pressure, G: liquid type, H: Strength, I: Degrees of saturation, J: Treatment time, K: CCC, L: Sand, M: Fiber, N: Air.

**Fig. 3.** Relationships: (a) CCC and change in friction angle and (b) CCC and cohesion.

where UCS is the unconfined compressive strength, CCC is the calcium carbonate content, and α_{UCS} and β_{UCS} are the empirical fitting parameters. Based on the compiled data shown in Table 2 and Fig. 2, the best-fit parameters, α_{UCS} and β_{UCS} , are determined to be 54 kPa and 1.4, respectively, using the least-square fitting method. In addition, the upper and lower bounds are drawn to include the majority of the presented data, but excluding some obvious outliers. Thereby, α_{UCS} ranges from 3 to 300 kPa, while β_{UCS} ranges from 1.2 to 2.1. Note that the empirical parameters, α_{UCS} and β_{UCS} , can differ with different MICP treatment strategies, such as microbial species, host soil type, chemical concentration, temperature, or nutrient/chemical injection method. Nevertheless, despite some scattered data, the formulation shown in Eq. (6) provides one guideline for rough estimates of the UCS for a given CCC.

2.3.2. Mohr-Coulomb shear strength parameters: Friction angle and apparent cohesion intercept

- The Mohr-Coulomb shear strength parameters—friction angle and apparent cohesion intercept—are the most widely used design parameters for describing the shear strength parameters of soils [57]. In general, the shear strength of cemented soils increases with an increase in the relative amount of the cementing agent [90], indicating the increase in the cohesion intercept and the angle of internal friction. Expectedly, the shear strength parameters were reported to increase upon the MICP treatment. In particular, the friction angles, both the peak and residual friction angles, increased with increasing CCC [91,92]. CaCO₃ crystals were formed at the contacts of sand grains, bonding those grains; the apparent cohesion intercept was also found to increase with the CCC [92,93]. The apparent cohesion intercept and friction angle of fine-grained swelling soils were found to increase with the cell density of bacterial solutions [94].

Table 3 shows the previous experiment data on MICP-treated sands gathered for this study [57,91,95–97]. The friction angles were measured to vary from 21.0 to 45.0° for a CCC varying from 0 to 16%. As the baseline friction angle prior to the MICP varies with grain size, soil type, or relative density, the change in friction angle ($\phi - \phi_0$) is plotted against the CCC, as shown in Fig. 3a, and the relationship between ($\phi - \phi_0$) and the CCC is expressed as a linear relationship, as follows:

$$\Delta\phi [^\circ] = \phi - \phi_0 = \alpha_\phi (CCC / 1\%), \text{ when } CCC < 15\%, \quad (7)$$

where ϕ is the friction angle of the MICP-treated soil, ϕ_0 is the friction angle of untreated soil, and α_ϕ is the empirical fitting parameter. The best-fit parameter, α_ϕ , is computed to be 0.90°.

Meanwhile, as shown in Fig. 3b, the apparent cohesion intercept ranges from 0 to 443.9 kPa when the CCC varies from 0 to 11.9%. Similar to what is observed with the UCS, the apparent cohesion intercept increases exponentially with increasing CCC [100]. Therefore, the cohesion-CCC relationship can be captured in a power function, as follows:

Table 4
Previous studies on permeability of MICP-treated sands.

| Bacteria | | Soil | | Properties | | Test Condition | Reference |
|-------------------------------|---------------------------------|-------------------------|---------------------------|------------|-----------------------|----------------|-----------|
| Name | Urease activity | Type | Density g/cm ³ | CCC (%) | Permeability (K, m/s) | | |
| <i>Sporosarcina pasteurii</i> | 0.8 to 1.2 (OD ₆₀₀) | British sand | 0.2 to 1.0 (R.D) | 0.0 | 1.98×10^{-4} | A | [50] |
| | | | | 8.9 | 1.18×10^{-6} | | |
| <i>Bacillus</i> sp. | 10 U/ml | Silica sand (Australia) | 1.60 to 1.61 | 0.0* | 1.30×10^{-4} | G | [56] |
| | | | | 4.0 | 4.22×10^{-5} | | |
| <i>Sporosarcina pasteurii</i> | – | Ottawa sand | 0.4 (R.D) | 0.0* | 1.0×10^{-4} | E | [72] |
| | | | | 7.7 | 1.06×10^{-6} | | |
| <i>Sporosarcina pasteurii</i> | 3.7 mM/min | Ottawa sand | 1.65 | 0.0* | 1.50×10^{-4} | M | [52] |
| | | | | 13.1 | 1.16×10^{-5} | | |
| <i>Sporosarcina pasteurii</i> | 1.5 to 2.0 mL/min | Ottawa sand | 1.7 | 0.0* | 3.00×10^{-5} | E | [8] |
| | | | | 8.2 | 1.52×10^{-6} | | |
| <i>Sporosarcina pasteurii</i> | 0.8 to 1.2 (OD ₆₀₀) | Sand | – | 0 | 5.04×10^{-5} | J, M | [77] |
| | | | | 3.8 | 2.45×10^{-6} | | |

R.D: Relative density, OD600: Optical density at 600 nm, A: Chemical Concentration, B: Urease activity, C: Temperature, D: Injection interval, E: Calcium source, F: Pressure, G: liquid type, H: Strength, I: Degrees of saturation, J: Treatment time, K: CCC, L: Sand, M: Fiber, N: Air.

*Estimated value based on the power trend.

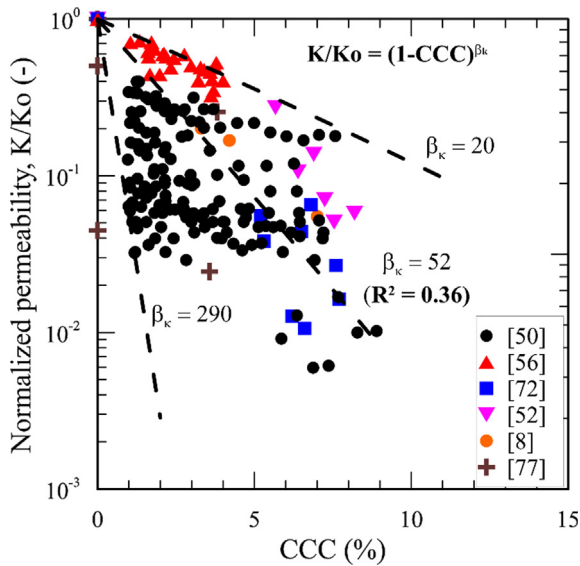


Fig. 4. Relationships of CCC and normalized permeability.

$$c[kPa] = \alpha_c \cdot (CCC/1\%)^{\beta_c}, \text{ when } CCC < 15\%, \quad (8)$$

where c is the apparent cohesion intercept, and α_c and β_c are the empirical fitting parameters. Because of no or minimal cohesion of coarse-grained soils or sands, the apparent cohesion intercept prior to the MICP is determined to be zero. The best-fit parameters, α_c and β_c , are 8.6 kPa and 1.2, respectively.

2.3.3. Permeability

The produced CaCO_3 crystals precipitated in soils reduce the void ratio (or porosity) of the soils, causing an increase in the flow resistance and leading to the development of new preferential liquid flow paths [98]. As a result, the permeability of the soils is reduced as the carbonate crystals precipitated. The reduction in the permeability of the MICP-treated samples was mainly controlled by the amount of CaCO_3 precipitation, whereas, the level of the urease activity used in treatment has a minor impact [73]. For instance, significant reductions in permeability by ~50–99% were observed in various studies [58,99–101]. Particularly, it is worth noting that a fairly large amount of calcium carbonate, e.g., ~10% CCC, was required to reduce the permeability by two orders of magnitudes [102,103]. Interestingly, a remarkably slow reduction trend can be seen, compared to other cementing agents

Table 5
Characteristics of biopolymers considered for soil improvement.

| Biopolymer | Chemical composition | Main characteristics | Reference |
|-------------|--|---|-----------|
| Cellulose | β -(1 → 4)-D-glucose linkages | -Mostly hydrophilic -Properties depend on the chain length | [112] |
| Starch | D-glucose linked by α -(1, 4) glucosidic bonds | -Solubility in water depending on temperature -Used as viscosity thickeners and stabilizers | [113] |
| Chitosan | P-(1,4)-2-amino-2-deoxy-D-glucose | -Solubility increases with a decrease in pH -Cationic nature mainly binds with negatively charged surfaces | [114] |
| Xanthan gum | $\text{C}_{35}\text{H}_{49}\text{O}_{29}$ | -Highly viscous and pseudoplastic rheology -Commonly used in drilling muds and soil treatment | [115] |
| Gellan gum | $\text{C}_{24}\text{H}_{37}\text{O}_{20}$ | -Temperature-dependent viscosity variation (thermogelation) -Irreversible gel formation once cooled below 40 °C | [116] |
| Agar gum | $\text{C}_{14}\text{H}_{24}\text{O}_9$ | -Reversible gelation with heating and cooling -Most common gelling agent in food | [117] |
| Curdlan | $(\text{C}_6\text{H}_{10}\text{O}_5)_n$ | -Gel formation via heating in aqueous solutions -Applied to prevent material separation in concrete mixtures | [118] |
| Beta-glucan | D-glucose monomers linked by β -glycosidic bonds | -Capable of immune activation in humans and cholesterol absorption capabilities -Promotes vegetation growth in soils | [119,120] |

or inclusions, such as biopolymers or Portland cements. This is possibly attributable to the locations of carbonate mineral precipitated in the pores.

To examine the relationship between CCC and permeability, some experimental data were compiled from literature, as listed in Table 4 [8,50,52,56,72,77]. The permeability normalized by its baseline value prior to the MICP treatment (i.e., K/K_o) is plotted against the CCC, as shown in Fig. 4. The normalized permeability (K/K_o) can be related to the CCC, using a power function, as follows:

$$K/K_o = (1 - CCC)^{\beta_k}, \text{ when } CCC < 10\%, \quad (9)$$

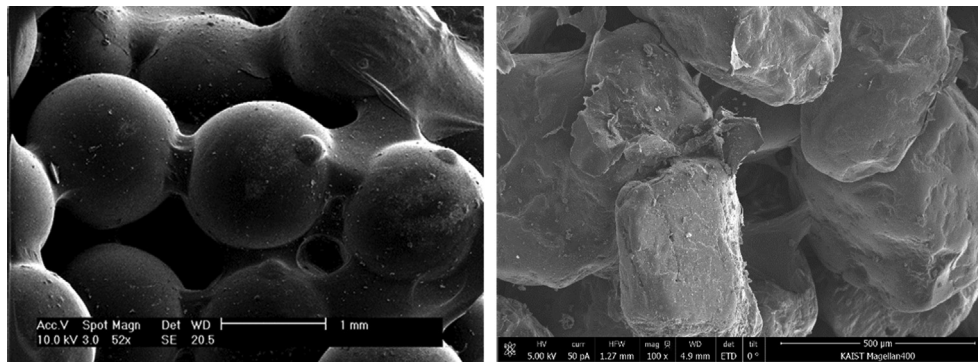


Fig. 5. SEM images of biopolymer-treated coarse particles. (a) Beta-glucan-treated silica beads [122]. (b) Gellan gum-treated silica sand [123,149].

where β_k is the empirical fitting parameter. The best-fit parameter, β_k is calculated as 52, using the least-square fitting method. When the upper and lower bounds are drawn to include the majority of the presented data, but excluding some obvious outliers, β_k is found to range from 20 to 290 as the upper and lower bounds, as shown in Fig. 4.

The considerable scatters in those geotechnical property data plotted against CCC directly indicate that there are many other parameters affecting the geotechnical properties, such as soil particle size, shape, calcium carbonate mineralogy, fine contents and clay mineralogy if there is any, bacterial strains, temperature, curing, and aging effect (or diagenetic process). Therefore, beyond the simple formulations proposed in this study, further research is warranted to develop more generic formulations that convolute such other affecting factors.

3. Biopolymer-treated soils (BPTS)

3.1. Mechanism of BPTS

Biopolymers are organic polymers produced by biological organisms which are categorized into three major classes: poly-

cleotides, polypeptides, and polysaccharides [104]. Of the three, polysaccharides are the most common biopolymer type used in various industry fields, including civil and construction engineering practices [105]. Generally, polysaccharide-type biopolymers are hydrophilic due to the abundant surface hydroxyl groups (OH^-), which mainly form viscous hydrogels in the presence of water [106,107]. The viscosity (or shear modulus) of biopolymer hydrogels varies based on the biopolymer-to-water content [108,109] and presence of counter-ions (alkali- or alkali earth-metal ions) [110,111], where the increase of both variables result in higher viscosity values of biopolymer hydrogels. Table 5 lists the typical characteristics of biopolymers considered for soil improvement [112–120].

The strengthening mechanism of BPTS is governed by the rheology of biopolymer hydrogels and the chemical (ionic-, hydrogen-) bonding between biopolymers and soil particles [105], where soil type becomes an important parameter [121]. Fig. 5 shows the scanning electron microscopy (SEM) images of dried biopolymer-treated coarse soils, where mainly dehydrated biopolymer hydrogels form coats around electrically neutral silica-based particle surfaces [122,123]. For saturated conditions, biopolymer hydrogels in pore spaces are reported to increase the apparent cohesion intercept with increasing biopolymer-to-water content, while the friction angle remains constant, regardless of biopolymer content [121]. Thus, it seems that the biopolymer-to-water content and accompanying hydrogel viscosity become the main strengthening factors for coarse soils.

3.2. Potential applications of BPTS

In civil and construction engineering practices, biopolymers have been applied as plasticizers or viscosifiers for construction materials such as concrete mixtures, drilling fluids, and cementitious grouts. In geotechnical engineering applications, recent attempts have been made to use biopolymers as new materials for soil treatment and ground improvement.

In particular, BPTS technology shows promising functions for soil strengthening [38,122,124–131], soil consistency control via liquid limit increase [132–135], soil erosion control/reduction [136–139], ground surface stabilization [140–142], soil hydraulic conductivity control [123,143–145], and seismic resistance improvement [146,147]. Table 6 provides more details of BPTS in geotechnical engineering practices.

3.3. Mechanical and hydrological properties of BPTS

3.3.1. UCS of BPTS

Biopolymer treatment is used for various soil types; however, major emphasis is placed on clean sands, in comparison with MICP. Table 7 shows the experimental data on the UCS of BPTS and Fig. 6

Table 6
Applications of BPTS methods for geotechnical engineering applications.

| Application | Biopolymer | Reference |
|---|-------------------------------------|------------|
| Soil strengthening | Agar gum, Starch | [38] |
| | Gellan gum, Agar gum | [124] |
| | Starch | [125] |
| | Casein | [126] |
| | Casein | [131] |
| | Xanthan gum | [127] |
| | Beta-glucan | [122] |
| | Xanthan gum, Guar gum | [129] |
| Soil consistency control | Chitosan | [130] |
| | Xanthan gum | [132] |
| | Xanthan gum, Guar gum | [133] |
| | Beta-glucan | [134] |
| Erosion control and Surface stabilization | Xanthan gum | [135] |
| | Xanthan gum, Chitosan | [136] |
| | Xanthan gum, Chitosan, Starch | [137] |
| | Rhizobium tropici exopolysaccharide | [138] |
| | Beta-glucan, Xanthan gum | [139] |
| | Xanthan gum | [140] |
| | Starch | [142] |
| | Soil hydraulic conductivity control | Gellan gum |
| Guar gum, Xanthan gum, Agar gum | | [143] |
| Guar gum, Xanthan gum, Chitosan | | [144] |
| Humic acid | | [145] |
| Seismic resistance improvement | Xanthan gum, Gellan gum | [146] |
| | Agar gum | [147] |

Table 7
Previous studies on UCS of BPTS.

| Biopolymer type | Soil type | USCS | BPC (%) | UCS (kPa) | Reference |
|--------------------------|-----------------------------------|------|------------|----------------|-----------|
| Xanthan gum | Crushed limestone sand | SP | 0.0 to 5.0 | 460 to 1,020 | [148] |
| Xanthan gum | Jumunjin sand (Korea) | SP | 0.5 to 1.0 | 370 to 880 | [149] |
| Gellan gum | Jumunjin sand (Korea) | SP | 0.5 to 1.5 | 13 to 435 | [123] |
| Xanthan gum | Mine tailing (USA) | SM | 0.0 to 0.5 | 241 to 514 | [150] |
| Xanthan gum | SandAl-Sharqia desert sand (Oman) | SP | 0.0 to 5.0 | 15 to 1,700 | [151] |
| Xanthan gum | Narli sand | SP | 0.5 to 1.5 | 1,132 to 2,109 | [152] |
| Xanthan gum | Warsaw sand (Poland) | SP | 0.5 to 1.5 | 1,132 to 2,109 | [153] |
| Polyurethane resin | Nanjing sand (China) | SP | 0.0 to 5.0 | 62 to 356 | [154] |
| Casein, Sodium caseinate | Dune sand (Kerman, Iran) | SP | 0.0 to 5 | 21 to 1,709 | [126] |

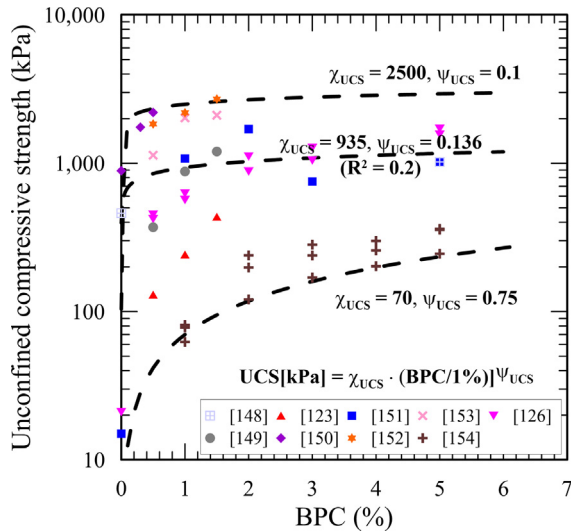


Fig. 6. Relationship between BPC and UCS.

shows the compiled data on the UCS versus BPC from literatures [123,126,148–154]. In the same manner with MICP-treated sands, a relationship between UCS and BPC is derived as follows:

$$UCS[kPa] = \chi_{UCS} \cdot (BPC/1\%)^{\psi_{UCS}}, \text{ when } BPC < 5\%, \quad (10)$$

where BPC is the biopolymer content (%), defined as the mass of biopolymer divided by the dry sand mass, and χ_{UCS} and ψ_{UCS} are the empirical fitting parameters for BPTS in Fig. 6. The best-fit parameters, χ_{UCS} and ψ_{UCS} , are determined to be 935 kPa and 0.136, respectively by using the least-square fitting method. Additionally, the upper and lower bounds are drawn to include the majority of the presented data; and χ_{UCS} and ψ_{UCS} range from 70 to 2500 kPa, and 0.1–0.75, respectively. This large scatter is thought to be due to the variations in biopolymer type. It is clearly observed that UCS increases with the BPC because of inter-particle bondings induced by biopolymer hydrogels. However, it is reported that a BPC of 5% appears to be the upper most allowable BPC because a BPC greater than 5% restricts uniform biopolymer-soil mixing due to the high viscosity of biopolymer hydrogels. Meanwhile, it is worth noting that the UCS appears to approach an asymptotic value with increasing BPC, as the BPC exceeds ~3–4%. This contrasts with

the MICP results, where the UCS increased exponentially with increasing BPC. For instance, the power exponent, β_{UCS} , in Eq. (6) for MICP ranges from 1.2 to 2.1, which is >1 . Whereas, the power exponent, ψ_{UCS} , is less than 1, indicating the decrease in the UCS increment rate with a BPC increment.

3.3.2. Mohr-Coulomb shear strength parameters – friction angle and apparent cohesion intercept – Of BPTS

As shear strength becomes a governing design parameter for geotechnical engineering structures, various studies have been conducted on the application of biopolymers toward improving the shear strength (Friction angle and cohesion) properties of sand [126,147,150,155], as listed in Table 8. Fig. 7 shows the selected data from Table 8. The application of BPTS clearly increased the friction angle of sands, though its extent varied according to biopolymer type. As the baseline friction angle prior to biopolymer treatment varies with grain size, soil type, or relative density, the change in friction angle ($\phi - \phi_0$) is plotted against the BPC, and in the same manner with MICP, the relationship between ($\phi - \phi_0$) and BPC is expressed as a linear relationship with an empirical fitting parameter χ_ϕ , as follows:

$$\Delta\phi[^\circ] = \phi - \phi_0 = \chi_\phi \cdot (BPC/1\%), \text{ when } BPC < 3\% \quad (11)$$

The best-fit parameter, χ_ϕ , is determined to be 4.2°, which is much greater than the fitting parameter for MICP (i.e., $\alpha_\phi = 0.9^\circ$). This indicates that for the same mass fraction of inclusion, whether it is calcium carbonate or biopolymer, the increment in friction angle is much greater in BPTS than in MICP-treated sands.

Table 8 also shows the experimental data on the apparent cohesion intercept of BPTS [126,147,155]. The apparent cohesion intercept was found to significantly increase by approximately 86–200 kPa for a BPC of 1–3%, and these data are plotted in Fig. 7b. Likewise, it appears that the apparent cohesion intercept exponentially increases with increasing BPC, which is the similar trend observed with the UCS. Therefore, the cohesion-BPC relationship can be captured in a power function as follows:

$$c[kPa] = \chi_c \cdot (BPC/1\%)^{\psi_c}, \text{ when } BPC < 3\%, \quad (12)$$

where c is the apparent cohesion intercept, and χ_c and ψ_c are the empirical fitting parameters. Owing to no or minimal cohesion of coarse-grained soils or sands, the apparent cohesion intercept prior to biopolymer treatment is determined to be zero. The best-fit parameters χ_c and ψ_c are 90 kPa and 0.724, respectively. This

Table 8
Precious studies on Mohr-coulomb shear strength parameters of BPTS.

| Biopolymer type | Soil type | USCS | BPC (%) | Friction angle (kPa) | Cohesion (kPa) | Reference |
|--------------------------|--------------------------|-------|------------|----------------------|----------------|-----------|
| Xanthan gum | Mine tailing (USA) | SM | 0.0 to 0.5 | 32.3 to 41.4 | – | [150] |
| Agar gum | Sabarmati sand (India) | SM-SP | 0.0 to 3.0 | 23.4 to 34.3 | 0.0 to 86.0 | [147] |
| Xanthan gum | Jumunjin sand (Korea) | SP | 0.0 to 2.0 | 17.5 to 38.4 | 13 to 218 | [155] |
| Casein, Sodium caseinate | Dune sand (Kerman, Iran) | SP | 0.0 to 1.0 | 36.7 to 46.0 | 0.17 to 145.9 | [126] |

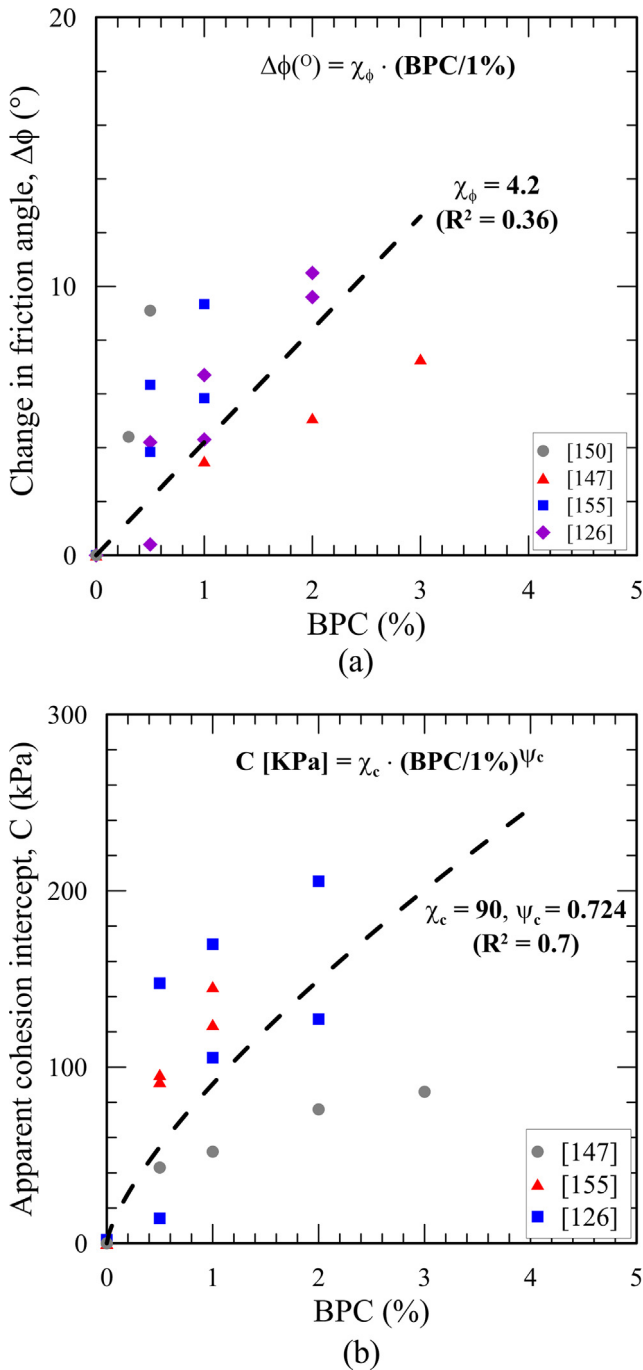


Fig. 7. Relationships: (a) BPC and change in friction angle and (b) BPC and cohesion.

demonstrates that the inter-particle cohesion increment is induced by biopolymer treatment.

A drying process involving hydrogel dehydration provides significant increase in cohesion due to the hydrogel condensation and accompanying biopolymer-induced bio-cementation [121,135]. Dried biopolymer hydrogels are transformed to thick film-like filaments, forming a continuous biopolymer matrix through

inter-granular pore spaces [122,149]. These filaments with high tensile strength enhance inter-particle bonding and cohesion [123].

3.3.3. Permeability

Table 9 shows the hydraulic conductivity (or permeability) of BPTS [123,156,157]. It appears that application of biopolymer treatment readily reduced the permeability by more than 3 orders of magnitudes with less than 8% BPC. This remarkable reduction is mainly due to the pore-clogging effect induced by biopolymer hydrogels. Fig. 8 plots the permeability normalized by its baseline value prior to BPT (i.e., K/K_o) with BPC. Accordingly, the normalized permeability (K/K_o) can be related to BPC using a power function, as follows:

$$K/K_o = (1 - BPC)^{\psi_k}, \text{ when } BPC < 8\%, \quad (13)$$

where ψ_k is the empirical fitting parameter. The best-fit parameter ψ_k is determined to be 314 by using the least-square fitting method, and the upper and lower bounds are 55 and 1500, respectively when drawn to include the majority of the presented data. Compared to the power exponent value for MICP (i.e., $\beta_k = 20\text{--}290$), such high ψ_k value supports the effectiveness of permeability reduction by biopolymers.

The vast scatters in the geotechnical properties against BPC are presumably attributable to the many other factors and parameters, such as soil particle size, shape, fine contents and clay mineralogy if there is any, temperature, humidity, curing condition, and aging effect. Again, development of more generic formulations is warranted beyond the simple formulations proposed in this study.

4. Comparisons of engineering properties for MICP and BPTS

In this section, the engineering properties and their correlation with the CCC/BPC of MICP-treated sands and BPTS gathered are

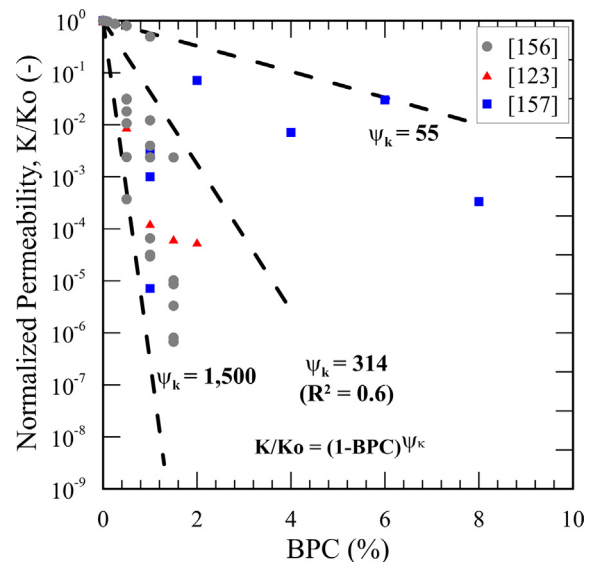


Fig. 8. Relationship between BPC and normalized permeability.

Table 9
Previous studies on permeability of BPTS.

| Biopolymer type | Soil type | USCS | BPC (%) | Permeability (m/s) | K/K_o @ max BPC | Reference |
|-----------------|--------------------------|------|------------|---|-------------------------|-----------|
| Xanthan gum | Narli sand (Turkey) | SP | 0.0 to 1.5 | 7.16×10^{-3} to 5.69×10^{-11} | $\sim 8 \times 10^{-9}$ | [156] |
| Gellan gum | Jumunjin sand (Korea) | SP | 0.0 to 2.0 | 2.11×10^{-6} to 2.11×10^{-10} | $\sim 10^{-4}$ | [123] |
| Guar gum | Thar desert sand (India) | SP | 0.0 to 8.0 | 7.00×10^{-6} to 5.00×10^{-11} | $\sim 7 \times 10^{-6}$ | [157] |

compared. Table 10 summarizes the results of the empirical fitting parameters determined in this study.

Fig. 9a shows all the collected data for the UCS versus the CCC and BPC. It indicates that the UCS of MICP-treated sands increases continuously with the CCC. Note that the maximum CCC that has been experimentally achieved is reported to be 33%, and the pore spaces are expected to be completely filled by carbonate minerals. The maximum UCS, that is considered the upper limit, is also reported

to be ~12 MPa with ~33% CCC. Whereas, the UCS of BPTS exhibits a considerable increase in UCS with the BPC lower than 1%, thereafter, the increment in UCS gradually diminishes as the BPC exceeds ~1%. The maximum UCS experimentally achieved is reported to be ~2 MPa. This is also consistent with the fitting parameter values, where the power exponents, β_{UCS} and ψ_{UCS} , range from 1.2 to 2.1 and 0.1–0.75, respectively, and the parameter α_{UCS} and χ_{UCS} range from 3 to 300 kPa and 70 to 2500 kPa, respectively (Table 10).

Table 10
Empirical fitting parameters and formulations for MICP and BPTS.

| Property | Type | α or χ | β or ψ | Formulation |
|------------------|------|--------------------|-------------------|--|
| UCS | MICP | 54 kPa | 1.4 | $UCS[kPa] = \alpha_{UCS} \cdot (CCC/1\%)^{\beta_{UCS}}$ |
| | BPTS | 935 kPa | 0.136 | $UCS[kPa] = \chi_{UCS} \cdot (BPC/1\%)^{\psi_{UCS}}$ |
| $\Delta\phi$ | MICP | 0.9° | – | $\Delta\phi[^\circ] = \phi - \phi_o = \alpha_\phi \cdot (CCC/1\%)$ |
| | BPTS | 4.2° | – | $\Delta\phi[^\circ] = \phi - \phi_o = \chi_\phi \cdot (BPC/1\%)$ |
| c | MICP | 8.6 kPa | 1.2 | $c[kPa] = \alpha_c \cdot (CCC/1\%)^{\beta_c}$ |
| | BPTS | 90 kPa | 0.724 | $c[kPa] = \chi_c \cdot (BPC/1\%)^{\psi_c}$ |
| K/K _o | MICP | – | 52 | $K/K_o = (1 - CCC)^{\beta_k}$ |
| | BPTS | – | 314 | $K/K_o = (1 - BPC)^{\psi_k}$ |

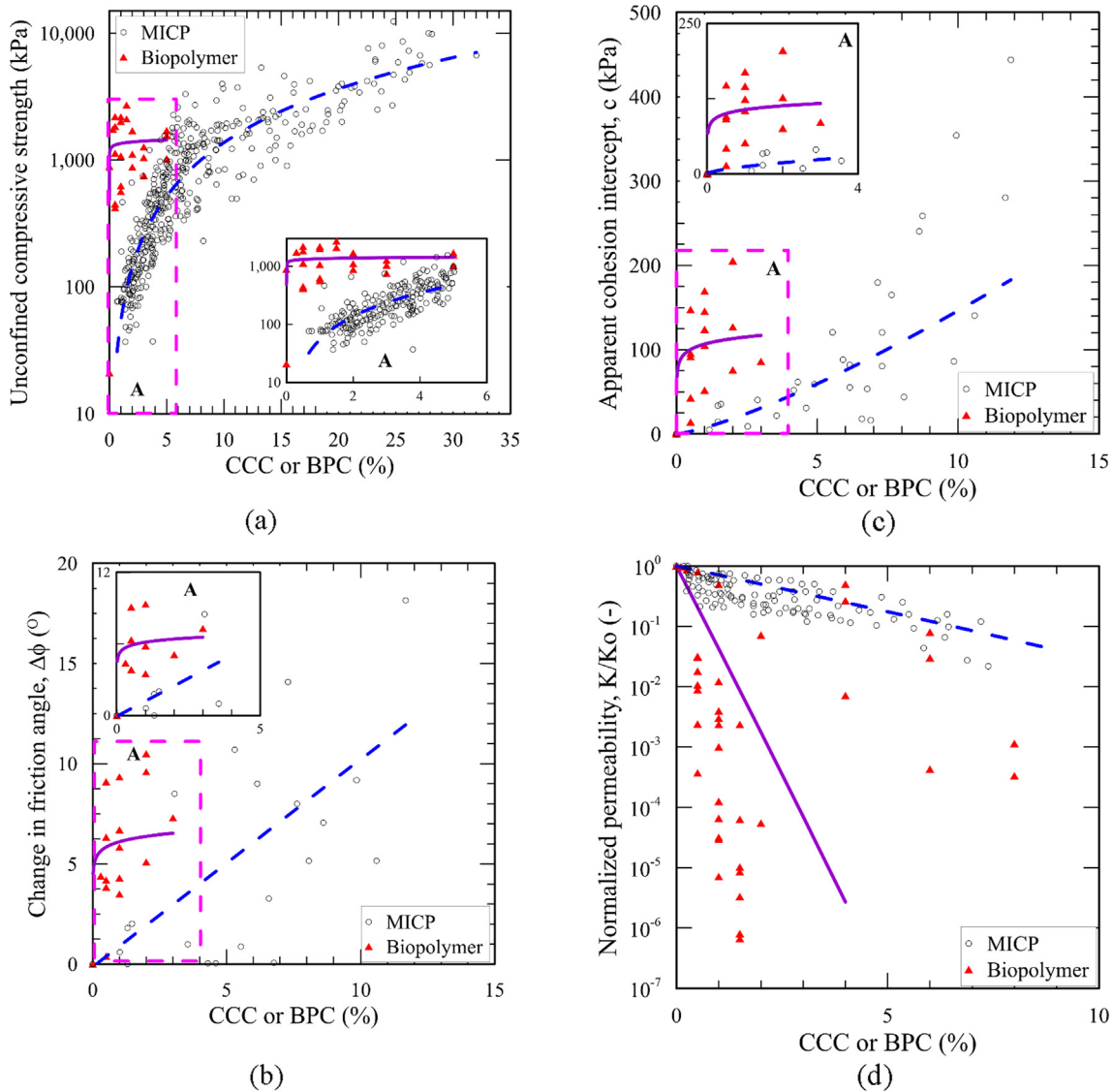


Fig. 9. Comparisons between MICP- and biopolymer-treated sands for (a) UCS, (b) change in friction angle, (c) cohesion, and (d) normalized permeability.

Fig. 9b shows all the collected data for the change in friction angle ($\Delta\phi$) versus the CCC and BPC. For a given CCC or BPC, i.e., the same mass fraction of inclusion, whether it is calcium carbonate or biopolymer, the increase in the friction angle of BPTS is greater by approximately five times that of MICP-treated sands. In addition, this is consistent with the fitting parameters α_ϕ and χ_ϕ values, 0.9° and 4.2° , respectively. Fig. 9c shows all the collected data for the apparent cohesion intercept (c) versus the CCC and BPC. Similar to the UCS, the cohesion intercept of MICP-treated sands increases continuously with the CCC, with the power exponent, β_c , of 1.2 (see Fig. 9c and Table 10). Whereas, the cohesion intercept of BPTS exhibits a rapid increase at a low BPC regime thereafter, its increment gradually diminishes. This led to the power exponent, ψ_c , of 0.724. The maximum cohesion values of MICP-treated sands and BPTS are reported to be ~ 440 kPa and ~ 200 kPa, respectively.

Fig. 9d shows all the experimental results of the normalized permeability (K/K_0) versus the CCC and BPC. The power exponents, β_k for MICP and ψ_k for BPTS, are determined to range from 20 to 290 and 55 to 1500, respectively. This is one of the important and distinctive differences between MICP and BPTS. This clearly shows the effectiveness of biopolymer treatment in permeability reduction over MICP. This is attributed to the combination of the precipitation and cementing effects of calcium carbonate on sand pores and the swelling of biopolymer-hydrogels in saturated conditions. During permeability measurement, note that the specimens were water-saturated, and thus, viscous hydrogel decreases the mobility or conductance of water through the specimen. Meanwhile, carbonate minerals, mostly calcite, are precipitated on the sand grain surfaces, and thus, it appears that the precipitated calcite hardly occludes the pore throats [102,103].

5. Concluding remarks – implications and potential applications

In this study, the fundamental mechanisms of microbially induced calcium carbonate precipitation (MICP) and biopolymer treatment (BPT) and their engineering properties are reviewed by compiling and comparing extensive experimental results. The engineering parameters, including the UCS, shear strength parameters, and permeability, are correlated to the calcium carbonate content (CCC) for MICP or the biopolymer content (BPC) for BPT. Herein, simple empirical models with the fitting parameters are suggested. Particularly, it is noted that the different mechanisms of MICP and BPT lead to the distinctive features in properties. Although the simple correlations by using CCC or BPC can provide rough baseline estimates for the properties of treated sandy soils, nevertheless, development of more generic formulations that convolute other affecting factors remains unresolved.

Accordingly, each method can be applied to specific engineering practices. MICP treatment is presumed to induce strength improvement, while maintaining permeability up to a certain CCC value. Thus, MICP can be utilized as a method for permeating grouting in coarse sands. Further, it can also be used for liquefaction mitigation because MICP-treated sands can maintain hydraulic conductivity, thereby allowing the dissipation of excess pore water during seismic loading. On the other hand, it can be seen that BPT can increase strength and reduce permeability with a BPC lower than 2–3%. Thus, it can be used to construct water-sealing layers or hydraulic barriers in geotechnical practices. Owing to its high viscosity when mixed with water and soil grains, it can also be utilized as a grout material in compaction grouting or deep cement mixing (DCM) methods. However, to be practically and economically applicable at in situ fields, various factors such

as workability, cost, proper equipment, and environmental issue need to be further assessed.

Declaration of Competing Interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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