Field Emission of ITO-Coated Vertically Aligned Nanowire Array

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Abstract An indium tin oxide (ITO)-coated vertically aligned nanowire array is fabricated, and the field emission characteristics of the nanowire array are investigated. An array of vertically aligned nanowires is considered an ideal structure for a field emitter because of its parallel orientation to the applied electric field. In this letter, a vertically aligned nanowire array is fabricated by modified conventional UV lithography and coated with 0.1- μ m-thick ITO. The turn-on electric field intensity is about 2.0 V/ μ m, and the field enhancement factor, β , is approximately 3,078 when the gap for field emission is 0.6 μ m, as measured with a nanomanipulator in a scanning electron microscope.

Keywords Field emission · ITO · Nanowire · Top-down

Field emission is quantum mechanical tunneling of electrons through the surface potential barrier into vacuum [1], which has been widely exploited in vacuum electronic applications including electron guns, microwave tubes, and flat panel displays [2]. Vertically aligned nanowire array (VANA) shows excellent field emission properties owing to a strong local electric field due to their parallel orientation to the applied electric field [3]. Numerous studies have been carried out on the fabrication of VANAs as field emitters using bottom-up synthesis approaches [4–6]. However, these bottom-up methods have drawbacks such as an expensive process and relatively low fabrication

reliability, thus making them unsuitable for mass production.

In this letter, a top-down method of modified conventional UV lithography is suggested for the fabrication of a VANA, thereby resolving the problems of bottom-up methods while allowing control over the VANA's position and shape. In the lithography process, a photoresist VANA is fabricated with a UV exposure dose control. After the lithography, a carbonization process (pyrolysis process) is followed to provide volume contraction of the array's structure. The pyrolyzed carbon VANA is coated with a 0.1-um-thick ITO layer in order to realize a durable field emitter and to provide low turn-on voltage [7, 8]. ITO has good transparence characteristics in the visible region of the electromagnetic spectrum while maintaining high electrical conductivity, thermal stability, and oxidation resistance [4, 9]. In a field emission experiment, a nanomanipulator in a scanning electron microscope (SEM) is used in order to measure field emission while precisely controlling the distance between the anode and cathode (field emitter).

The fabrication process of the ITO-coated VANA is shown in Fig. 1. Figure 1a shows circular aperture patterns ($\varphi=1~\mu m$) of a 0.1- μm -thick chrome (Cr) layer on a fused silica wafer. SU-8 50 (Microchem Co.) is deposited on the Cr layer in Fig. 1b. The backside of the fused silica wafer is exposed to UV light filtered by a narrow band-pass filter ($\lambda=365~nm$ and bandwidth = 10 nm, OptoSigma Co.) with an exposure dose of 200 mJ/cm² in Fig. 1c. The intensity of UV light is concentrated to the central axis by diffraction in the SU-8 medium, which defines a sharp, high aspect ratio SU-8 structure [10]. After development of SU-8 and Cr etching, the SU-8 VANA is obtained, as shown in Fig. 1d. Figure 1e shows the pyrolyzed carbon VANA obtained by a pyrolysis process in a quartz tube

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furnace at 900°C for 30 min [11]. Volume shrinkage to approximately 60% occurs in this process for the SU-8 VANA. Finally, Fig. 1f shows the VANA coated with a 0.1-µm-thick ITO layer. The size of VANA is controlled by the circular aperture patterns of a Cr layer on a fused silica wafer and the aspect ratio by the UV light exposure dose.

Figures 2a–c are the fabrication results of Fig. 1d–f, respectively. Figure 2a shows a SEM image of a SU-8 VANA with a diameter of 1 μm produced with an UV exposure dose of 200 mJ/cm². The aspect ratio of the structure is more than 7. Figure 2b shows the pyrolyzed carbon VANA after pyrolysis, where the volume shrinkage is 60% and the aspect ratio is increased to more than 9. Figure 2c shows the ITO-coated VANA with 0.1-μm-thick ITO layer. Utsumi concluded that the best field emitter should be high aspect ratio rounded whisker like this ITO-coated VANA [12]. Figure 2d presents energy dispersive spectrum (EDS) results showing the chemical composition of the ITO-coated VANA, which comprises In, Sn, O, C, and Si.

Figure 3a presents a schematic view of the experimental setup for investigating the field emission characteristics with a Zyvex nanomanipulator (Zyvex Instrument) operating inside a SEM vacuum chamber. The nanomanipulator controls the distance between the ITO-coated VANA, the field emitter, and the tungsten tip A, the counter electrode. The tungsten tip A, the anode, is connected to the (+) of a

Keithley 4200 (Keithley Instrument Inc.), while the tungsten tip B, the cathode, on the surface of the wafer is connected to the (-) of the instrument via a feed through to apply voltage and to sense current. Figure 3b shows a SEM image of the ITO VANA and the tungsten tip A in a SEM vacuum chamber of 1.59×10^{-5} torr.

With the shortest distance, d, of 0.6 µm, the current is measured by the Keithley 4200 using the voltage sweep function. As the voltage and the distance are known, the I-E curve of the ITO-coated VANA can be determined, as shown in Fig. 4a. As the electric field intensity (E) is increased, the current (I) increases exponentially, following the behavior of the Fowler-Nordheim (F-N) equation. The field emission starts around 2.0 V/um and the maximum current is 4.0×10^{-6} A. Figure 4b shows the F-N curve obtained from the I-E curve of the ITO-coated VANA. This curve shows a linear relationship after turn-on electric field intensity, following the F-N equation, as indicated by the red line. The turn-on electric field intensity is 2.0/µm, which can be estimated where the slope of the F-N curve changes. Figure 4c shows the field emission current stability of the ITO-coated VANA over a period of 3 min, measured under a vacuum of 1.59×10^{-5} torr when the electric field intensity (E) is 2.5 V/µm.

In order to estimate the field enhancement factor, β , F–N parameters are evaluated by linear fit of the red line in Fig. 4b. The field emission is described by the F–N equation as follows [1, 13],

Fig. 1 Schematic view of fabrication process of ITO-coated VANA. **a** Circular aperture patterns (φ = 1 μm) of Cr layer on a fused silica wafer. **b** SU-8 50 on Cr layer. **c** Backside UV exposure with exposure dose of 200 mJ/cm² filtered by a narrow band-pass filter. **d** SU-8 VANA after development of SU-8 and Cr etching. **e** Pyrolyzed carbon VANA by pyrolysis process at 900°C for 30 min. **f** ITO-coated VANA with 0.1-μm-thick ITO

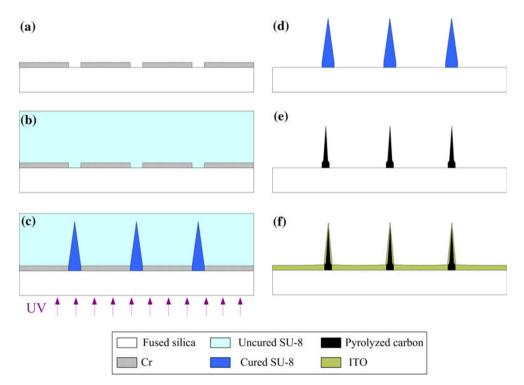




Fig. 2 SEM images of a SU-8 VANA, b pyrolyzed carbon VANA, and c ITO-coated VANA. d EDS result of ITOcoated VANA

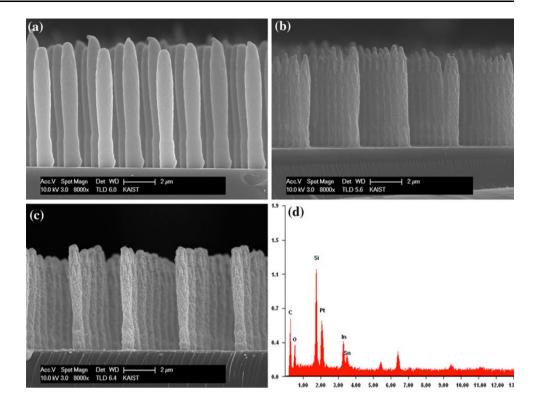
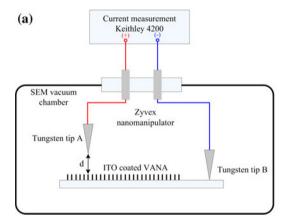
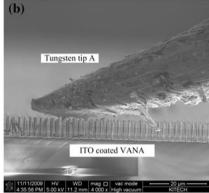


Fig. 3 a Schematic view of the experimental setup, where a Zyvex nanomanipulator is employed in a SEM vacuum chamber. b SEM image of the tungsten tip A and ITO-coated VANA with distance control by the nanomanipulator





$$I(E) = A \frac{q}{8\phi^2 \hbar} \frac{1}{\varphi} (\beta E)^2 \exp\left(-\frac{4}{3\hbar(\beta E)} \sqrt{2m\varphi^3}\right)$$
 (1)

where I, E, β , φ , A, \hbar , and m are the current, electric field intensity, field enhancement factor, work function, area, reduced Planck constant, and electron mass, respectively. This equation explains the shape of the I-E curve in Fig. 4a and can be modified as follows,

$$\left(\ln\frac{I}{E^2}\right) = -\frac{b}{\beta}\varphi^{\frac{3}{2}}\left(\frac{1}{E}\right) + \ln aA\beta^2 = -21.18\left(\frac{1}{E}\right) - 5.76$$

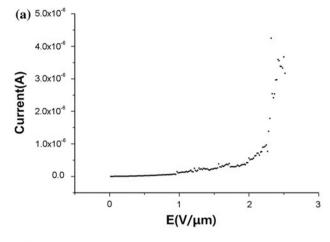
$$\left(b = 6.83 \times 10^3 V^{-\frac{1}{2}} \mu m^{-1}\right) \tag{2}$$

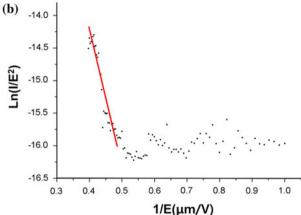
Note that -21.18 is the slope of the red line and -5.76 is the y-intercept of the red line in Fig. 4b. The estimated

field enhancement factor of the ITO-coated VANA, β , is 3,078 when the work function of ITO is 4.5 eV [9]. The measured value of the field enhancement factor is comparable with previous research results of field emitters [4, 14, 15].

In summary, an ITO-coated VANA was fabricated by a top-down method using modified conventional UV lithography, and the field emission characteristics were evaluated using a Zyvex nanomanipulator. The top-down method offers many advantages including an economical process, good fabrication reliability, and suitability for mass production. The turn-on electric field intensity of the ITO-coated VANA is about 2.0 V/ μ m, and the estimated field enhancement factor β is 3,078. These results show







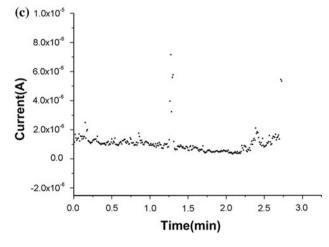


Fig. 4 a Field emission I-E curve of the ITO-coated VANA measured under a vacuum of 1.59×10^{-5} torr. **b** Corresponding F–N curve obtained from I-E curve. **c** Field emission current stability of ITO-coated VANA at 1.59×10^{-5} torr

that the ITO-coated VANA is a very promising candidate for vacuum electron field emission applications.

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